

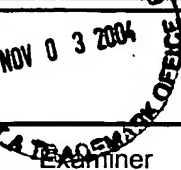
11-4-04

TSW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14458.67

In Re Application Of: James D. Isaacson et al.



| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|------------|--------------|----------------|------------------|
| 10/719,333 | 11/21/2003 | Unassigned | 022913 | 3762 | 2614 |

Title: **TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

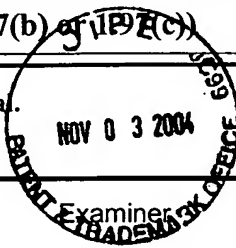
☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) of 1978(c))

Docket No.
14458.67

In Re Application: James D. Isaacson et al.



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| 10/719,333 | 11/21/2003 | Unassigned | 022913 | 3762 | 2614 |

Title: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.

- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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*This certificate may only be used if paying by deposit account.

Signature

Dated: November 3, 2004

FRASER D. ROY

Registration No. 45,666

Customer No. 022913

CC:

~~EXPRESS~~ MAIL LABEL NO. EV 510 292 908 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

more application of

James D. Isaacson, et al.

Serial No.: 10/719,333

Confirmation No.: 2614

Filed: November 21, 2003

For: TUNED RETURN ELECTRODE WITH
MATCHING INDUCTOR

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED this 3 day of November, 2004.

Respectfully submitted,



FRASER D. ROY
Attorney for Applicant
Registration No. 45,666
Customer No. 022913

FDR: kc
KC0000002927V001

EXPRESS MAIL LABEL NO.: EV 510 292 908 US
Form PTO-1449

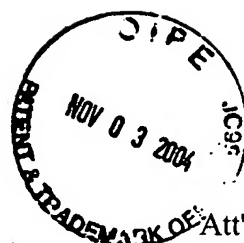
Applicant: James D. Isaacson, et al.

Serial No.: 10/719,333

Filing Date: November 21, 2003

Confirmation No.: 2614

For: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR



Sheet 1 of 4

Att'y Docket No.: 14458.67

Group: 3739

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issue Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|------------------------------|--------------------------|-----------------------|---------------------|--------------|----------------------|------------------------|
| _____ A1. | 3,089,496 | 05/14/63 | Degelman | 128 | 303.14 | 08/19/59 |
| _____ A2. | 3,543,760 | 12/01/70 | Bolduc | 128 | 416 | 03/11/68 |
| _____ A3. | 3,720,209 | 03/13/73 | Bolduc | 128 | 2.06 E | 11/25/70. |
| _____ A4. | 3,848,600 | 11/19/74 | Patrick, Jr. et al. | 128 | 303.13 | 01/30/73 |
| _____ A5. | 4,088,133 | 05/09/78 | Twentier | 128 | 303.13 | 09/13/76 |
| _____ A6. | 4,092,985 | 06/06/78 | Kaufman | 128 | 303.13 | 08/02/76 |
| _____ A7. | 4,094,320 | 06/13/78 | Newton, et al. | 128 | 303.14 | 09/09/76 |
| _____ A8. | 4,117,846 | 10/03/78 | Williams | 128 | 303.13 | 07/19/77 |
| _____ A9. | 4,166,465 | 09/04/79 | Esty, et al. | 128 | 303.13 | 10/17/77 |
| _____ A10. | 4,200,104 | 04/29/80 | Harris | 128 | 303.14 | 11/17/77 |
| _____ A11. | 4,207,904 | 06/17/80 | Greene | 128 | 798 | 09/25/78 |
| _____ A12. | 4,226,247 | 10/07/80 | Hauser, et al. | 128 | 641 | 08/21/78 |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 510 292 908 US

Form PTO-1449

Sheet 2 of 4

Applicant: James D. Isaacson, et al.

Serial No.: 10/719,333

Att'y Docket No.: 14458.67

Filing Date: November 21, 2003

Group: 3739

Confirmation No.: 2614

For: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR

| | | | | | | |
|------------|-----------|----------|---------------------|-----|--------|----------|
| _____ A13. | 4,231,372 | 11/04/80 | Newton | 128 | 303.14 | 11/04/74 |
| _____ A14. | 4,237,886 | 12/09/80 | Sakurada, et al. | 128 | 303.13 | 03/31/78 |
| _____ A15. | 4,237,887 | 12/09/80 | Gonser | 128 | 303.14 | 06/23/75 |
| _____ A16. | 4,267,840 | 05/19/81 | Lazar, et al. | 128 | 303.13 | 01/08/79 |
| _____ A17. | 4,304,235 | 12/08/81 | Kaufman | 128 | 303.13 | 09/10/79 |
| _____ A18. | 4,384,582 | 05/24/83 | Watt | 128 | 303.13 | 06/02/80 |
| _____ A19. | 4,387,714 | 06/14/83 | Geddes, et al. | 128 | 303.13 | 05/13/81 |
| _____ A20. | 4,669,468 | 06/02/87 | Cartmell, et al. | 128 | 303.13 | 03/20/81 |
| _____ A21. | 4,770,173 | 09/13/88 | Feucht, et al. | 128 | 303.13 | 04/07/87 |
| _____ A22. | 4,799,480 | 01/24/89 | Abraham, et al. | 128 | 303.13 | 08/04/87 |
| _____ A23. | 5,352,315 | 10/04/94 | Carrier, et al. | 156 | 267 | 11/05/93 |
| _____ A24. | 5,520,683 | 05/28/96 | Subramaniam, et al. | 606 | 32 | 05/16/94 |
| _____ A25. | 5,836,924 | 11/17/98 | Netherly, et al. | 606 | 32 | 04/04/96 |
| _____ A26. | 6,053,910 | 04/00 | Fleenor | 606 | 32 | |
| _____ A27. | 5,354,790 | 10/94 | Keusch, et al. | 523 | 300 | |
| _____ A28. | 6,083,221 | 07/04/00 | Fleenor, et al. | 606 | 32 | |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 510 292 908 US

Form PTO-1449

Sheet 3 of 4

Applicant: James D. Isaacson, et al.

Serial No.: 10/719,333

Att'y Docket No.: 14458.67

Filing Date: November 21, 2003

Group: 3739

Confirmation No.: 2614

For: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR

| | | | | | | |
|------------|-----------|----------|-----------------|-----|----|----------|
| _____ A29. | 6,454,764 | 09/24/02 | Fleenor, et al. | 606 | 32 | 05/12/00 |
| _____ A30. | 6,666,859 | 12/23/03 | Fleenor, et al. | 606 | 32 | 09/09/02 |

Foreign Patent Documents

| <u>Examiner Initial*</u> | <u>Document Number</u> | <u>Publ. Date</u> | <u>Country or Patent Office</u> | <u>Sub Class</u> | <u>Class</u> | <u>Trans- lation</u> |
|------------------------------|----------------------------|-----------------------|-------------------------------------|----------------------|--------------|--------------------------|
| _____ A31. | 1 480 736 | 07/20/77 | Great Britain | | | NO |
| _____ A32. | 2 052 269 | 01/28/81 | Great Britain | | | NO |
| _____ A33. | S57-188250 | | Japan | | | YES |

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner
Initial*

_____ A34. Wald, et al., "Accidental Burns Associated With Electrocautery," *JAMA*, Aug. 16, 1971, Volume 217, No. 7, pp. 916-921.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 510 292 908 US

Form PTO-1449

Sheet 4 of 4

Applicant: James D. Isaacson, et al.

Serial No.: 10/719,333

Att'y Docket No.: 14458.67

Filing Date: November 21, 2003

Group: 3739

Confirmation No.: 2614

For: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

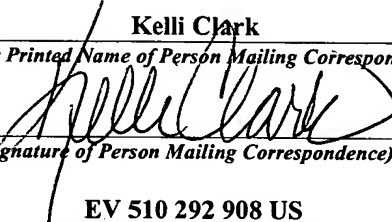
The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) | | | | Docket No. | |
|---|---------------------------|------------------------|------------------------|------------------------|--|
| Applicant(s): James D. Isaacson et al. | | | | 14458.67 | |
| Application No. 10/719,333 | Filing Date 11/21/2004 | Examiner Unassigned | Customer No. 022913 | Group Art Unit 3762 | |
| Invention: TUNED RETURN ELECTRODE WITH MATCHING INDUCTOR | | | | | |
| <p>I hereby certify that the following correspondence:</p> <div><p>Transmittal of Information Disclosure Statement (2 pgs, in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (4 pgs); Legible Copies of Four (4) Cited References; postcard; and Certificate of Express Mailing Label No. EV 510 292 908 US</p><p>(Identify type of correspondence)</p></div> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p><u>November 3, 2004</u> (Date)</p> <div><p>Kelli Clark (Typed or Printed Name of Person Mailing Correspondence)</p><p> (Signature of Person Mailing Correspondence)</p><p>EV 510 292 908 US (Express Mail Mailing Label Number)</p></div> | | | | | |
| <p>Note: Each paper must have its own certificate of mailing.</p> | | | | | |